# PSO 6

(capitalization matters for some reason – curse you web devs!!)



If N keys are inserted into an initially empty BST, how many different (unlabelled binary search) tree shapes are possible if

- (a) N = 2? 2 different tree shapes exist
- (b) N = 3? 5 different tree shapes exist
- (c) N = 4? 14 different tree shapes exist
- (d) N = 5? 42 different tree shapes exist

Justify your answers.

(1) What is the asymptotic performance of inserting n items with keys sorted in a descending order into an initially empty binary search tree?

(2) Is the operation of deletion "commutative" in the sense that deleting x and then y from a binary search tree leaves the same tree as deleting y and then x? Argue why it is or give a counterexample.

(3) Your friend thinks he has discovered a remarkable property of binary search trees. Suppose that the search for key k in a binary search tree ends up in a leaf. Consider three sets: A, the keys to the left of the search path; B, the keys on the search path; and C, the keys to the right of the search path. Your friend claims that any three keys  $a \in A$ ,  $b \in B$ , and  $c \in C$  must satisfy  $a \leq b \leq c$ . Give a simple counterexample to his claim.

(Hash table) Let T be an empty hash table of length m = 12 with  $h(k) = k \mod 12$ ,  $k \in \mathbb{Z}^+$ . T uses linear probing as a collision management technique. The following is the content of T after inserting six values.

0	1	2	3	4	5	6	7	8	9	10	11
				16	17	28	18	8	31		

(a) Write an order of insertion for these six values such that the state of T is the one displayed above.

(b) Can another insertion order give the same state? Explain your answer.

- (c) What is the load factor of T? Is there any issue occurring in T?
- (d) Illustrate T if the collision management technique used was chaining.

You are in the role of a hacker trying to break down a hash table. The information collected so far indicates the hash table uses Quadratic Probing with  $h(k, i) = (k + i^2) \mod m$  for collision management and its current capacity is m = 9. The current state of the table is:

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

The system is nearly overloaded and will collapse if the next item inserted causes at least 4 probes. As an attacker you are considering inserting the following keys: 16, 35 and 10. Which (if any) of these values would bring the system down if inserted next? Explain your answer.

(a) Show how Mergesort works on the array [M, E, R, G, E, S, O, R, T].

(b) What is the expected runtime complexity for Quicksort running on [7, 5, 3, 1, 2, 4, 6] when always using the rightmost index of each partition as the pivot? Explain your answer.

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Justify your answers.

What is a BST?



Each node in the tree has

node.left <= node.val <= node.right</pre>

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Justify your answers.

**Counting BSTs!** 

List all the N=2,3 trees

# **Counting BSTs**

We use a recurrence to calculate work.. We can do the same for counting

Let B<sub>i</sub> be the number of BSTs on i nodes

Base Cases?

# Let Bi be the number of BSTs on i nodes

 $B_0 = 1$ 

B<sub>1</sub> = 1

General Case?

B<sub>n</sub> = ...





# $B_0 = 1$

B<sub>1</sub> = 1

**General Case?** 

 $B_n =$ 

**Insight:** subtrees of BSTs are also BSTs

Q: Suppose I know there are i nodes in the left subtree and (n - i -1) nodes in the right subtree

How many BSTs?



10

13

14

 $B_0 = 1$ 

 $B_1 = 1$ 

General Case?

 $B_n =$ 

**Insight:** subtrees of BSTs are also BSTs

Q: Suppose I know there are i nodes in the left subtree and (n - i -1) nodes in the right subtree How many BSTs?

A: B<sub>i</sub>B<sub>n-i-1</sub>

# Let Bi be the number of BSTs on i nodes

 $B_0 = 1$ 

B<sub>1</sub> = 1

General Case?

 $B_n = \sum_{i=1}^{n-1} B_i B_{n-i-1}$ 

**Insight:** subtrees of BSTs are also BSTs

Q: Suppose I know there are i nodes in the left subtree and (n - i -1) nodes in the right subtree How many BSTs?

A: B<sub>i</sub>B<sub>n-i-1</sub>

Sum over all possible values of i



# Summary of How we counted

- 1. **Recurrence:** We set  $B_n = #$  bsts with n nodes
- **2. Base Case:**  $B_0, B_1 = 1$
- 3. Recursive Case (B<sub>n</sub>):
  - a. A root node can have i left children and (n i 1) right children



# Summary of How we counted

- 1. **Recurrence:** We set  $B_n = #$  bsts with n nodes
- **2. Base Case:**  $B_0, B_1 = 1$
- 3. Recursive Case (B<sub>n</sub>):
  - a. A root node can have i left children and (n i 1) right children
  - b. If i left children, there are  $B_i B_{n-i-1}$  possible BSTs



### Bonus: A related problem

I am taking a walk on a graph, where I can only go right or up.

How many paths are there from (0,0) to (n,n) where I never go under the diagonal?



(1) What is the asymptotic performance of inserting n items with keys sorted in a descending order into an initially empty binary search tree?

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(3) Your friend thinks he has discovered a remarkable property of binary search trees. Suppose that the search for key k in a binary search tree ends up in a leaf. Consider three sets: A, the keys to the left of the search path; B, the keys on the search path; and C, the keys to the right of the search path. Your friend claims that any three keys  $a \in A$ ,  $b \in B$ , and  $c \in C$  must satisfy  $a \leq b \leq c$ . Give a simple counterexample to his claim.

Insert(root,x):

If root == null: return x

If (x <= root.val): insert(root.left,x)</pre>

If (x > root.val): insert(root.right,x)

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How does deletion work?

### Deletion in a BST: Depends on # children

Basically, want to delete while keeping order



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Assume 1 child deletion swaps with **successor** 

Delete A, then B
$$A$$
 $A$  $A$  $A$  $A$  $C$  $A$ Delete B, then A $A$  $A$  $A$  $C$  $A$ 

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If 1 child deletion swaps with **predecessor** 

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(Hash table) Let T be an empty hash table of length m = 12 with  $h(k) = k \mod 12$ ,  $k \in \mathbb{Z}^+$ . T uses linear probing as a collision management technique. The following is the content of T after inserting six values.

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Linear Probing: If collision, check next box

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Which ones are in the right place?

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Insert 16,17,8 first

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16	17	28	90 Jan 20 50 - 1	8	2018255		

Next, 28

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16	17	87 - 93 S (89-19)		8	2018250		

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16	17	28	18	8	2010200		

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I can enter 16,17,8 in any order

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Load factor =

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Insertion order: 16, 17, 28, 18, 8, 31

k		h(k) = k mod 12								
1	6	4								
1	7	5								
2	8	4	 							
1	8	6	 4	5	6	7	8	9	10	11
8		8				1				
3	1	7								

You are in the role of a hacker trying to break down a hash table. The information collected so far indicates the hash table uses Quadratic Probing with  $h(k, i) = (k + i^2) \mod m$  for collision management and its current capacity is m = 9. The current state of the table is:

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The system is nearly overloaded and will collapse if the next item inserted causes at least 4 probes. As an attacker you are considering inserting the following keys: 16, 35 and 10. Which (if any) of these values would bring the system down if inserted next? Explain your answer.

Quadratic probing:

i = i'th collision

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

 $h(16,0) = 16 + 0^2 \mod 9 = 7$ 

No collision

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

 $h(35,0) = 35 + 0^2 \mod 9 =$ 

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

 $h(35,0) = 35 + 0^2 \mod 9 = 8$  Collision

 $h(35,1) = 35 + 1 \mod 9 =$ 

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

 $h(35,0) = 35 + 0^2 \mod 9 = 8$  Collision

 $h(35,1) = 35 + 1^2 \mod 9 = 0$  Collision

 $h(35,2) = 35 + 2^2 \mod 9 =$ 

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

 $h(35,0) = 35 + 0^2 \mod 9 = 8$  Collision

 $h(35,1) = 35 + 1^2 \mod 9 = 0$  Collision

 $h(35,2) = 35 + 2^2 \mod 9 = 3$  No Collision

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

 $h(10,0) = 10 + 0^2 \mod 9 =$ 

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

 $h(10,0) = 10 + 0^2 \mod 9 = 1$  Collision

 $h(10,1) = 10 + 1^2 \mod 9 =$ 

0	1	2	3	4	5	6	7	8
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 $h(10,0) = 10 + 0^2 \mod 9 = 1$  Collision

 $h(10,1) = 10 + 1^2 \mod 9 = 2$  Collision

 $h(10,2) = 10 + 2^2 \mod 9 =$ 

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

 $h(10,0) = 10 + 0^2 \mod 9 = 1$  Collision

 $h(10,1) = 10 + 1^2 \mod 9 = 2$  Collision

 $h(10,2) = 10 + 2^2 \mod 9 = 5$  Collision

 $h(10,3) = 10 + 3^2 \mod 9 =$ 

0	1	2	3	4	5	6	7	8
17	28	20			5	32		19

 $h(10,0) = 10 + 0^2 \mod 9 = 1$  Collision

 $h(10,1) = 10 + 1^2 \mod 9 = 2$  Collision

 $h(10,2) = 10 + 2^2 \mod 9 = 5$  Collision

 $h(10,3) = 10 + 3^2 \mod 9 = 1$  Collision

(a) Show how Mergesort works on the array [M, E, R, G, E, S, O, R, T].

(b) What is the expected runtime complexity for Quicksort running on [7, 5, 3, 1, 2, 4, 6] when always using the rightmost index of each partition as the pivot? Explain your answer.

Merge sort: Divide until pairs/singles, then recombine

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(b) What is the expected runtime complexity for Quicksort running on [7, 5, 3, 1, 2, 4, 6] when always using the rightmost index of each partition as the pivot? Explain your answer.

Quick Sort: Sort by pivot partitioning